

FORM-PTO-1390  
(Rev. 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

021238-466

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

Not Yet Assigned

09/868069

INTERNATIONAL APPLICATION NO.  
PCT/US99/25427INTERNATIONAL FILING DATE  
29 October 1999PRIORITY DATE CLAIMED  
29 October 1998

## TITLE OF INVENTION

FILTER FOR SELECTIVE REMOVAL OF A GASEOUS COMPONENT

## APPLICANT(S) FOR DO/EO/US

Kent B. KOLLER, Susan E. WRENN, Willie G. HOUCK, Jr. and John B. PAIN, III

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An **UNEXECUTED** oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

1) PETITION FOR REVIVAL OF AN INTERNATIONAL APPLICATION FOR PATENT DESIGNATING THE U.S. ABANDONED  
UNINTENTIONALLY UNDER 37 CFR 1.137(b)  
2) SUBMISSION OF FORMAL DRAWINGS

|  |  |  |  |   |  |
|--|--|--|--|---|--|
| U.S. APPLICATION NO. (If known) 37 C.F.R. 1.50(a)<br><b>Not Yet Assigned 09/868089</b> |  | INTERNATIONAL APPLICATION NO.<br><b>PCT/US99/25427</b> |  | ATTORNEY'S DOCKET NUMBER<br><b>021238-466</b> |  |
|--|--|--|--|---|--|

|  |              |              |                  |                               |              |
|--|--------------|--------------|------------------|-------------------------------|--------------|
| 17. <input type="checkbox"/> The following fees are submitted:   |              |              |                  | CALCULATIONS                  | PTO USE ONLY |
| <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b><br><br>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00 (960)<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 (970)<br><br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 (958)<br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00 (956)<br><br>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 (962) |              |              |                  |                               |              |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  |              |              |                  | <b>\$ 690.00</b>              |              |
| Surcharge of \$130.00 (154) for furnishing the oath or declaration later than 20 <input type="checkbox"/> 30 <input type="checkbox"/> months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |              |                  | \$                            |              |
| Claims   | Number Filed | Number Extra | Rate             |                               |              |
| Total Claims   | 47 -20 =     | 27           | X\$18.00 (966)   | \$ 486.00                     |              |
| Independent Claims   | 4 -3 =       | 1            | X\$80.00 (964)   | \$ 80.00                      |              |
| Multiple dependent claim(s) (if applicable)  |              |              | + \$270.00 (968) | \$                            |              |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              |                  | <b>\$ 1,256.00</b>            |              |
| Reduction for 1/2 for filing by small entity, if applicable (see below).   |              |              |                  | \$                            |              |
| <b>SUBTOTAL =</b>  |              |              |                  | <b>\$ 1,256.00</b>            |              |
| Processing fee of \$130.00 (156) for furnishing the English translation later than 20 <input type="checkbox"/> 30 <input type="checkbox"/> months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |              |                  | \$                            |              |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |                  | <b>\$ 1,256.00</b>            |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +   |              |              |                  | \$                            |              |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |                  | <b>\$ 1,256.00</b>            |              |
|  |              |              |                  | <b>Amount to be: refunded</b> | \$           |
|  |              |              |                  | <b>charged</b>                | \$           |

a. ☐ Small entity status is hereby claimed.

b. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

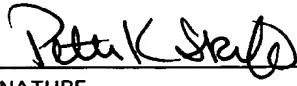
c. ☒ Please charge my Deposit Account No. 02-4800 in the amount of \$ 1,256.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Peter K. Skiff  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

  
 SIGNATURE  
 Peter K. Skiff  
 NAME  
 31,917  
 REGISTRATION NUMBER

**TRANSMITTAL LETTER TO THE  
UNITED STATES RECEIVING OFFICE**

|                           |                  |
|---------------------------|------------------|
| Date                      | October 29, 1999 |
| International Application |                  |
| Attorney Docket No.       | 021239-040       |

**I. Certification under 37 CFR 1.10 (if applicable)**

|                             |
|-----------------------------|
| Express Mail mailing number |
|-----------------------------|

|                 |
|-----------------|
| Date of Deposit |
|-----------------|

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

|  |
|--|
| Signature of person mailing correspondence |
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|--|
| Typed or printed name of person mailing correspondence |
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**II. ☒ New International Application**

|       |   |
|-------|---|
| TITLE | FILTER FOR SELECTIVE REMOVAL OF A GASEOUS COMPONENT |
|-------|---|

|  |
|--|
| Earliest priority date<br>(Day/Month/Year) |
| 29 October 1998                            |

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.  
 B. ☐ There is no prior U.S. application relating to this invention.  
 C. ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

|                 |            |          |                 |
|-----------------|------------|----------|-----------------|
| application no. | 09/181,859 | filed on | 29 October 1998 |
| application no. |            | filed on |                 |

- D. ☐ The present international application ☐ is identical to ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C. above.  
 E. ☒ The present international application ☒ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages throughout the specification and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

**III. ☐ A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:**

- A. ☐ A Request for An Extension of Time to File a Response  
 B. ☐ A Power of Attorney (General or Regular)  
 C. ☐ Replacement pages:

|       |  |                             |       |  |                 |
|-------|--|-----------------------------|-------|--|-----------------|
| pages |  | of the request (PCT/RO/101) | pages |  | of the figures  |
| pages |  | of the description          | pages |  | of the abstract |
| pages |  | of the claims               |       |  |                 |

- D. ☐ Submission of Priority Documents

|                   |  |                   |  |
|-------------------|--|-------------------|--|
| Priority document |  | Priority document |  |
|-------------------|--|-------------------|--|

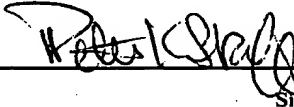
- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex.

**DOCKETED**

IV. ☐ A Request for Rectification under PCT Rule 91 ☐ A Petition ☐ A Sequence Listing Diskette

V. ☐ Other (please specify):

The person  
signing this  
form is the:

|   |   |
|---|---|
| <input type="checkbox"/> Applicant                                      |   |
| <input checked="" type="checkbox"/> Attorney/Agent (Reg. No.)<br>31,917 | <b>Typed name of signer</b><br>Peter K. Skiff   |
| <input type="checkbox"/> Common Representative                          | <br>Signature |